



Clearing and Execution Schedule

Revised March 21, 2023

Equity

Over the Counter ¹	Charge
Tier 1: Up to 600 Trades	\$30
Tier 2: 601-1200 Trades	\$25
Tier 3: 1201-1800 Trades	\$20
Tier 4: 1801 Trades and Up	\$18

Listed Stock & ETFs ¹	Charge
Tier 1: Up to 600 Trades	\$30
Tier 2: 601-1200 Trades	\$25
Tier 3: 1201-1800 Trades	\$20
Tier 4: 1801 Trades and Up	\$18

- Add \$.03 per share for all Listed Stock & ETF non market orders (e.g. Limit Orders).
- Add \$.03 per share for all Listed Stock & ETF trades greater than 4999 shares.

Foreign Stock	Charge
Euro Clearance	\$75
Foreign Securities	\$90

- Fees listed above are in addition to the ticket charge.

Mutual Funds

Mutual Funds ¹	Charge
Tier 1: Up to 600 Trades	\$25
Tier 2: 601-1200 Trades	\$22
Tier 3: 1201-1800 Trades	\$20
Tier 4: 1801 Trades and Up	\$18

Mutual Fund Exchange (Same Family and Asset Class)	\$25
Mutual Fund Periodic Investment/Withdrawal - Charge to Advisor	\$3.50
NFS No Transaction Fee Funds (See List on repplebackoffice.com)	\$0

Cancel & Re-Bill	\$55 + market diff
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Options

Options ¹

Tier 1: Up to 600 Trades	\$25
Tier 2: 601-1200 Trades	\$22
Tier 3: 1201-1800 Trades	\$20
Tier 4: 1801 Trades and Up	\$18

• Add \$2.00 per Contract. (e.g. 5 options contracts are \$25 + (5 Contracts X \$2) = \$35)

Fixed Income

Bonds (Corporate, Government, Municipals, Secondary Church) ¹

Tier 1: Up to 600 Trades	\$40
Tier 2: 601-1200 Trades	\$35
Tier 3: 1201-1800 Trades	\$32
Tier 4: 1801 Trades and Up	\$30

CDs

Certificate of Deposit	\$25
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New Church Bonds

\$0 - \$9999.99	\$40
\$10,000 - \$19,999.99	\$20
\$20,000 and Greater	No Charge with Strongtower

Foreign Fixed Income

Purchase and Sales	\$90
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Alternative Investments

REITs & LPs		\$60
Unit Investment Trust		\$40
Precious Metals		\$55

Miscellaneous Charges

To Advisor

Manual One-time Distributions due to NIGO			\$25
Unauthorized Short Sell			\$250
Sale of Restricted Stock without Approval			\$50

To Customer

144 Stock Transaction (Restricted Stock)		\$175
Alternative Investment Annual Custody Fee		\$25
Alternative Investment Transfer & Re-registration		\$50
Bank Wires		\$15
Bounced Check		\$20
Cash Management Check Reorder		\$5
Cash Management Debit Card (Charged in Advance & then Annually)		\$50

Cash Management Capable (ACH + Bill Pay)	\$10
Premiere Cash Management (Charged in Advance & then Annually)	\$100
Inactivity Fee (Non Qualified Accounts)	\$35
IRA Annual Custody Fee	\$35
IRA Close Out Fee	\$125
Legal Returns	\$55
Legal Transfers	\$100
Mailgrams (Margin Calls)	\$6
Non-Transferable Position (Per Month)	\$10
Overnight Delivery	\$20
Paper Statement Fee	\$30*
Postage and Handling	\$4.95
Precious Metals Transfer, Delivery & Storage	Rates Vary ²
Reorganization (After Mandatory Date)	\$45
Returned Check	\$20
Safekeeping of Stock Cert (Covers Deposit, Custody, & Withdrawal)	\$10
Stop Payment	\$20
TOA Delivery Fee (Non Qualified Accounts)	\$60
Trade Extensions	\$12
Transfer and Ship	Rates Vary ²

* charged \$7.50 quarterly maximum \$30.00 per year

¹ Tiers are based on total number of trades per prior year per advisor.

² For varying rates, please contact home office.

Advisors are allowed to mark-up on ticket charges

G.A. Repple & Company reserves the right to amend this Clearing and Execution Schedule, in its sole discretion.

G.A. Repple & Company may act as a principal, therefore, securities may be subject to a mark-up or mark-down rather than to a commission charge.

The Investment Firm of G.A. Repple & Company

A Registered Broker/Dealer Member FINRA & SIPC

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