

Privacy Policy

This Privacy Policy notice is provided to you on behalf of G. A. Repple & Company (“GAR”). This notice has been prepared to describe to you what GAR collects, and how GAR collects, handles, and safeguards your personal information. Please read this notice carefully to understand your privacy rights. A copy of this and other important disclosures can be found at <https://www.garepple.com/disclosures/>

Facts		What Does G. A. Repple Do with Your Personal Information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Our privacy policy tells you how we collect, share and protect your information and provides you with an opportunity to opt out of sharing in certain circumstances as described below.	
What?	The types of personal information we collect can include: <ul style="list-style-type: none"> <li>• Name, Address, Social Security number and Date of Birth</li> <li>• Income, Assets, Net Worth and Investment Experience</li> <li>• Account Balances and Transaction History</li> </ul> When you are no longer our customer, we will continue to hold your information and share it as described in this notice.	
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, the reasons GAR chooses to share personal information and whether you can limit this sharing.	

Reasons We Can Share Your Personal Information*	Does GAR share?	Can you limit this sharing?
For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates to market to you	No	We don’t share
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For nonaffiliates to market to you and/or for their everyday business purposes * Only if your financial advisor leaves GAR, retires, or sells their practice	No	* Yes

\* If your advisor/registered representative terminates his or her relationship with us and moves to another brokerage or investment advisory firm, we or your advisor/registered representative may disclose your personal information to the new firm, so that your account may continue to be serviced. He/She may retain your personal information, in electronic and/or paper form, so that he/she may continue to service your account unless you instruct us not to by returning the completed Privacy Choices Notice form attached to this notice.

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**What We Do**

How does GAR protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. We have implemented security standards and processes as well as physical, electronic and procedural safeguards – including training and confidentiality agreements - designed to protect your information.
How does GAR collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• Open an account</li> <li>• Apply for insurance</li> <li>• Seek advice about your investments portfolio</li> <li>• Enter into an investment advisory account</li> <li>• Tell us about your investment or retirement</li> </ul> <p>We also collect your personal information from others such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p><b>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</b></p>
How does GAR treat my personal information once I am no longer a customer?	The firm will provide nonpublic information about former customers only if required to do so by law or regulation or to those parties who need such information in order for the firm to carry out any continued obligation with respect to the services covered by the former advisor/registered representative /customer relationship.

**Definitions**

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you:</p> <ul style="list-style-type: none"> <li>• This may include banks, credit unions, or other financial institutions with which we have a joint marketing agreement.</li> </ul>

**Important Information**

**Information for Alaska Customers**

In response to Alaska law, if your financial advisor/registered representative terminates his or her relationship with us and moves to another brokerage or investment advisory firm and your primary address is in Alaska, you must give your written consent before we will allow your financial advisor/registered representative to take any of your personal information to his or her new brokerage or investment advisory firm.

**Information for California Customers**

In response to California law, we automatically treat accounts with California billing addresses as if you do not want to disclose personal information about you to nonaffiliated third parties except as permitted by the applicable California law.

**Information for Massachusetts Customers**

GAR shall implement and maintain a comprehensive information security program which contains administrative, technical and physical safeguards that are appropriate to safeguard the Confidential Information it receives from its clients and which are consistent with any applicable federal and/or state statutes or regulations.

**Information for Vermont Customers**

In response to a Vermont regulation, if we disclose personal information about you to nonaffiliated third parties with whom we have joint marketing agreements, we will only disclose your name, address, other contact information, and information about our transactions or experiences with you.

**Information for Nevada Customers**

We are providing this notice to you pursuant to state law. Nevada law requires us to disclose that you may request to be placed on our internal “Do Not Call” list at any time by calling 866-373-7753, and that you may obtain further information by contacting the Nevada Attorney General, 555 E. Washington Ave., Suite 3900, Las Vegas, NV 89101; phone 702-486-3132; email BCPINFO@ag.state.nv.us.

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Opt-In/Opt-Out Mail-In Form

Privacy Choices Notice

(To be used by clients of G. A. Repple & Company)

If you would like to limit the personal information that your financial advisor/registered representative could disclose or take if he or she moved to another brokerage or investment advisory firm and terminated the relationship with G. A. Repple & Company, please complete and mail the following form to:

G. A. Repple & Company
101 Normandy Road
Casselberry, FL 32707

You can withdraw your opt-out choice at any time by contacting us in writing at the address provided above.

If your primary address is in a state that requires your affirmative consent to share your personal information with the New Firm (such as Alaska), then you must give your written consent before we will allow your financial advisor/registered representative to take any of your personal information to that New Firm.

In order for your opt-out election to be effective, you must complete ALL of the following information and check accordingly to opt-in or opt-out based on the state in which you reside:

Instructions: If you want to make a selection, please check only one box and sign below to complete your privacy choice. You are not required to check either box if you do not want to take any action.

- Opt-In: Check here (For residents of the following states: Alaska, California, or Vermont) to give permission to G.A. Repple & Company to share your personal information with third-parties according to its privacy practices described herein. You are not required to Opt-In.
Opt-Out: Check here if you are not a resident of the above-mentioned states and wish to limit the personal information about me that my financial advisor/registered representative could disclose or take if he or she moves to another brokerage or investment advisory firm and terminates the relationship with G. A. Repple & Company. However, I understand that G. A. Repple & Company may disclose my name, address, telephone number, email, and the account title of the accounts serviced by my advisor/registered representative to the new brokerage or investment advisory firm as allowed under federal and certain state laws. You are not required to Opt-Out.

Advisor/Registered Representative's Name: \_\_\_\_\_

Please note that for accounts held jointly by two or more persons, the privacy choices made by any account holder apply to all joint holders with respect to the account.

Customer 1:

Name (Please print clearly) \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State/Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

Account Number or SSN \_\_\_\_\_

Signature: \_\_\_\_\_ Date \_\_\_\_\_

Customer 2:

Name (Please print clearly) \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State/Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

Account Number or SSN \_\_\_\_\_

Signature: \_\_\_\_\_ Date \_\_\_\_\_