



# G.A. Repple & Company

Customer Fee Schedule  
Revised March 29, 2023

## Charges

144 Stock Transaction*	Per event	\$175
Alternative Investment Annual Custody Fee*	Per event	\$25
Alternative Investment Transfer & Re-registration	Per event	\$50
Bank Wires	Per event	\$15
Bounced Check	Per event	\$20
Cash Management Check Reorder	Per event	\$5
Cash Management Debit Card	Per year	\$50
Cash Management Capable (ACH + Bill Pay)	Per year	\$10
Premiere Cash Management (Charged in Advance & then Annually)	Per year	\$100
Inactivity Fee*	Per event	\$35
IRA Annual Custody Fee	Per year	\$35
IRA Close Out Fee	Per event	\$125
Legal Returns*	Per event	\$55
Legal Transfers*	Per event	\$100
Mailgrams*	Per event	\$6
Overnight Delivery	Per event	\$20
Paper Statement Fee*	Per year	\$30
Physical Reorganization	Per event	\$45
Transaction Processing Fee	Per event	\$4.95
Precious Metals Transfer, Delivery & Storage*	Per event	Rates Vary <sup>1</sup>
Safekeeping of Restricted Physical Stock Cert at NFS*	Per month	\$10
Stop Payment on Checks	Per event	\$20
TOA Delivery Fee (Non Qualified Accounts)*	Per event	\$60
Trade & Margin Extensions*	Per event	\$12
Transfer & Ship (Request for Physical Possession of Security)*	Per event	Rates Vary <sup>1</sup>

\*See "Explanation of Fees" for further information.

<sup>1</sup> For varying rates, please contact home office.

G.A. Repple & Company reserves the right to amend this Clearing and Execution Schedule, in its sole discretion.

G.A. Repple & Company may act as a principal, therefore, securities may be subject to a mark-up or mark-down rather than to a commission charge.



## G.A. Repple & Company

Explanation of Fees  
Revised March 29, 2023

### Explanations

1. 144 Stock Transaction-Charge for the legal fees associated with the filing for SEC approval of restricted securities.
  2. Alternative Investments Annual Custody Fee-Charge for Custody and Valuation of position, \$25 per position with a maximum charge of \$75 per account
  3. Alternative Investment Transfer & Re-registration-Charge for the re-registration of an individual alternative investment position
  4. Inactivity Fee-Assessed on each non-qualified account which holds a position, and for which there has been no trading activity during the prior calendar year. Transactions such as Mutual Fund PIPs/SWPs, Exchanges, NTFs as well as equity dividend reinvestments and margin interest are considered trading activity. This fee will be charged in January following the calendar year of no activity. The parameters for the annual custody fee are subject to review by NFS.
  5. Legal Returns-Charge when stock certificate is returned to a client due to "Not in Good Order" Status Exceeding 45 Days or at the Client's Request
  6. Legal Transfers-Charge when a deposited stock certificate requires legal documentation to change
  7. Mailgrams-Charge for mailgram sent to a client for:
    - Day Trade Restriction
      - \*Day trading activity or market conditions in margin account represent a violation of security regulations
      - \*Since National Financial Services LLC (NFS) did not receive margin payment within five days your margin account is now restricted from day trading for 90 calendar days and will be limited to trading on cash available basis
    - Day Trade Minimum Equity Call
      - \*Due to day trading or market conditions, your margin requires an additional payment due to securities industry regulations
      - \*To satisfy the margin deficiency in your account we require an addition payment or acceptable securities with sufficient loan value
      - \*If the deposit is not received, your account will become restricted from day trading and your day trading buying power will become further reduced
    - Unsettled Cash Sell Out
      - \*Trades executed on a certain date left an obligation of \$X.XX.
      - \*If payment is not received, NFS may be forced to liquidate securities from your account
    - Fed-Call
      - \*As a result of trades executed require an additional payment or acceptable securities to satisfy margin deficiency in account
      - \*NFS reserves the right to liquidate securities held in account
    - House Call
      - \*Due to market conditions as of the close of business, your account requires and additional payment or acceptable securities to satisfy margin deficiency in account
      - \*NFS reserves the right to liquidate securities held in account if market conditions warrant
    - Good Faith Violation
      - \*Trading activity in your cash account represents a violation of securities industry regulations
      - \*If security is sold and payment not received regulation requires no additional purchases over next 90 days
      - \*Trading limitation can be prevented if full payment received
      - \*If you wish to continue trading in this manner, you may want to consider a margin account
  - \*Others may apply, above are most common
8. Paper Statement Fees – Charged at \$7.50 per Qtr. per account.
  9. Physical Reorganization-Charge when a stock certificate is deposited after the action date on a mandatory reorganization item
  10. Precious Metals Transfer, Delivery, & Storage-Charges associated with a precious metal transaction, e.g. Gold, Silver, etc.
  10. Safekeeping of Restricted Physical Stock Certificate-Charge for holding a restricted position at NFS, fee is on a monthly basis
  11. TOA Delivery Fee-Charge for transferring all assets out of a Non-Qualified Account
  12. Trade & Margin Extensions-Charge for each trade extension granted
  13. Transfer & Ship-Charge for re-registering a security directly with the transfer agent and sending a direct-registration statement to the client